# Innovations in Integrated Service Delivery for Pre-School Age Children: Improving Performance in Safety Net Clinics

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Funding provided by: First 5 LA



# SESSION OVERVIEW



- J. Crall: Overview of UCLA-First 5 LA Oral Health Program
  - ► Context, Goals, Objectives, Strategic Components
- ► <u>M. Doherty</u>: Safety Net Solutions' Role & Process in the UCLA-First 5 LA Oral Health Program
- ► <u>C. Lampron</u>: UCLA-First 5 LA Quality Improvement Learning Collaborative
  - Applications of quality improvement methods, training and skills to promote and integrate risk-based care by dental, medical and community outreach personnel
- ▶ J. Crall: Summary and Lessons Learned
- ► All: Exercises / Q & A

# Children's Oral Health in CA



At least 5 of these kids have/will have Early Childhood Caries



- Early Childhood Caries remains a common, significant problem
- ~ 54% of CA children have caries experience by kindergarten
  - 28% have untreated decay / 19% have extensive decay
- > 70% of CA children have caries experience by 3<sup>rd</sup> grade
- < 50% of U.S. children visit a dentist annually</li>
  - Uninsured/Medicaid utilization rates are generally < commercially insured</li>
- Persistent oral health disparities (low-income, racial/ethnic minorities)
- Growing recognition of the importance of early interventions





# Overview of the UCLA-First 5 LA (F5LA) Oral Health Program (OHP)

UCLA-F5LA 21<sup>st</sup> Century Dental Home Project and

UCLA-F5LA Children's Dental Care Program

JIM CRALL, DDS, SCD
UCLA-FIRST 5 LA OHP PROGRAM DIRECTOR



# UCLA-FIRST 5 LA OHP GOALS



- ► The primary goal of this project is to increase access to dental and oral health care for at least 53,000 children ages 0-5 in LA County in partnership with 20-22 additional community clinic sites and other community-based partners.
- ► The project seeks to improve the capacity of community clinics to deliver quality oral health care to young children, increase parents' and child care providers' awareness of the importance of oral health care for preschool children, and develop a sustainable community "dental home" model.

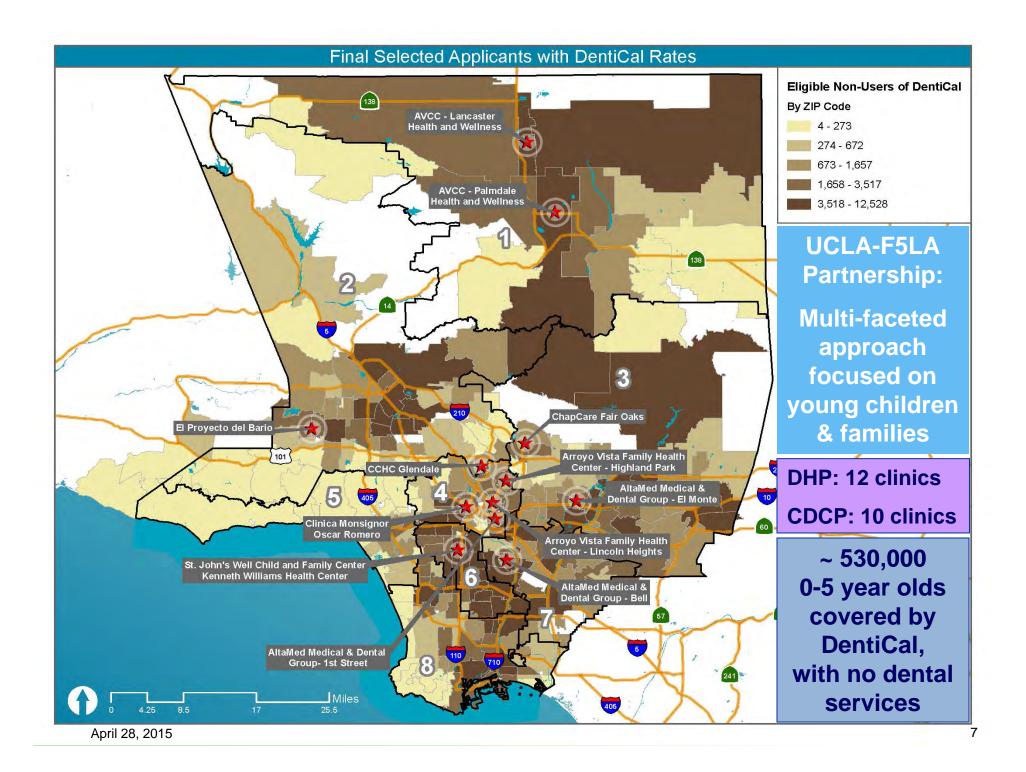


# **Program Objectives**



- Address barriers that limit young children's access to oral health/dental care services and clinics' abilities to serve as dental homes for at-risk children
- Increase providers' awareness of the importance of oral health/dental services and dental homes for children 0-5
- Increase # of children 0-5 who receive preventive services
   from dental, medical and community health care providers
- Increase # of clinic dentists that treat children ages 0-5
- Increase parents' and caretakers' awareness of the importance of oral health for young children
- Establish sustainable systems that promote oral health education and access to risk-based care within community clinics' primary care perinatal, pediatric and dental services

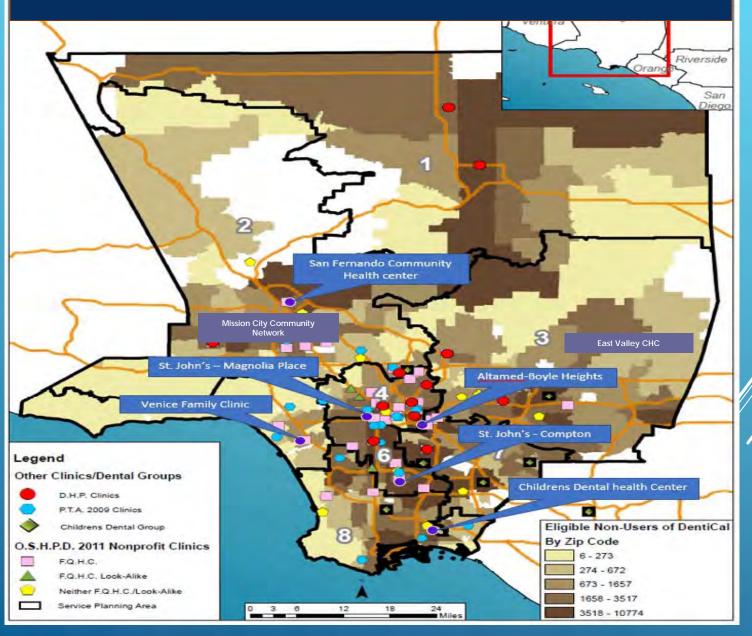
April 28, 2015 J. Crall: 2015 NOHC 6



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# UCLA-F5LA Children's Dental Care Program Selected Clinics:



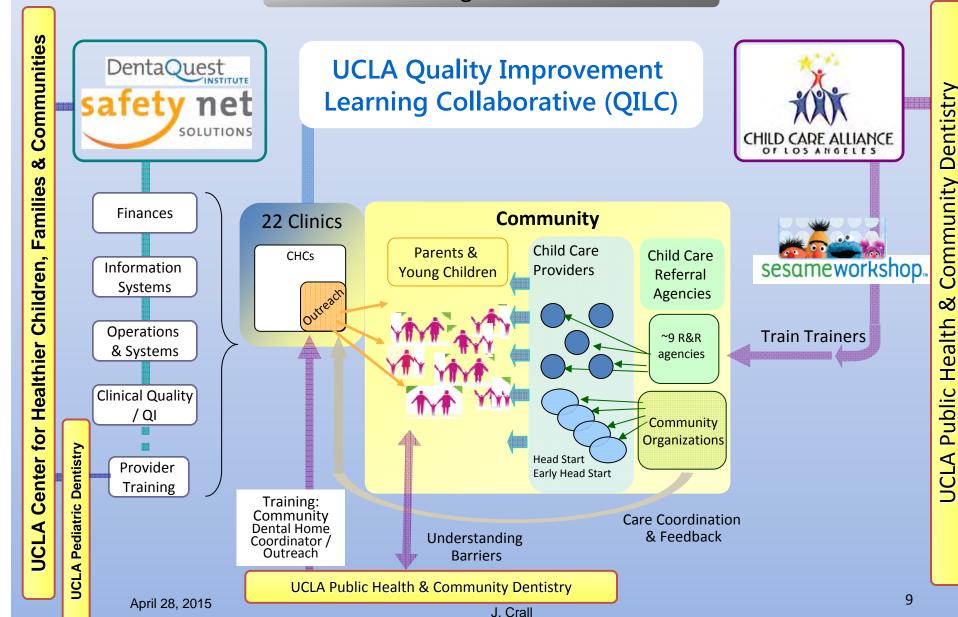


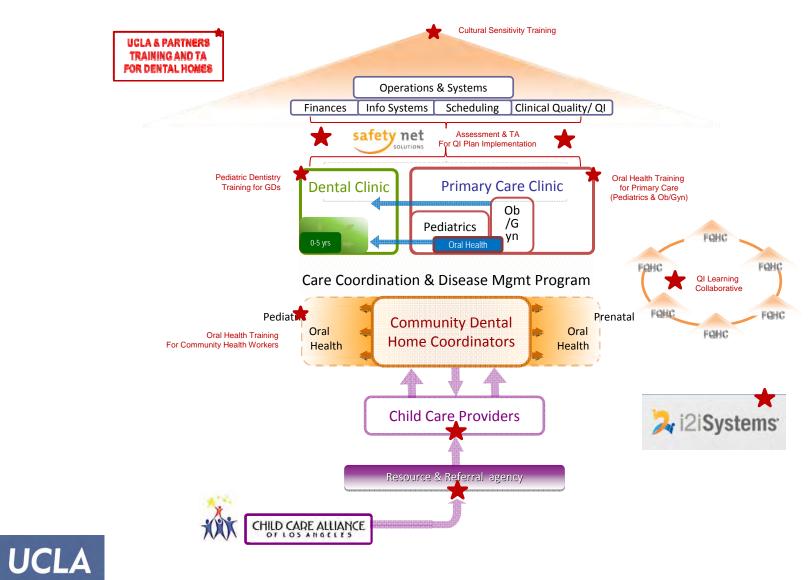


#### UCLA-First 5 LA Oral Health Program



#### **Steering Committee**







J. Crall: 2015 NOHC

10





# CLINIC INTERVENTIONS: 4 COMPONENTS



### 1. <u>Infrastructure</u>:

- Support for part-time on-site pediatric dentist support
- Support for hiring a Community Dental Home Coordinator (CDHC)
- Provide population health data management software
- ► UCLA-First 5 LA financial support to implement enhancement plans

## 2. Safety Net Solutions:

► Clinic enhancement plans / clinic productivity technical assistance

## 3. Training:

- Dental and medical personnel
- ► On-line, in-person and on-site training for each clinic

## 4. Quality Improvement Learning Collaborative:

- Enhance clinic personnel ability to conduct quality improvement
- ► Improve system operations issues to increase evidence-based care, dental-medical integration, and improve outcomes and efficiency
  - > 10,000 more 0-5 year-old children with medical primary care visits in 12 DHP clinics annually compared to # with dental visits





# UCLA CLINIC STAFF TRAININGS

#### All Staff (Medical/Dental)

#### **CDHC**

#### Pediatric Dentist

#### General DDS

#### All Staff

Hire CDHC/ **Pediatric** Dentist

Smiles for Life -Online Training

Baseline Training: Medical & Dental Teams

CDHC Trainings **Pediatric** Dentist Training

and

ties

Adv. Training: General Dentists

On-Site Medical & Dental Training

- Hire Communit v Dental Home Coordinat or (Full Time) and Pediatric Dentist (at least 1/2day/we ek)
- Smiles for Life is a national comprehe nsive oral health curriculum
- Clinical Training for all providers and staff members.
- Project Overview, ECC Managem ent. Infant Oral Care, Fluorides, Nutrition. Insurance Navigation
- , Oral health basics and data reporting and other modules
- PEDIATRIC Online **CARIES** webingr-MANAGEM **Project ENT AND** Overview **PULP** and Roles THERAPY-Lecture Responsibili and Workshop
- **UCLA** trainers to visit both progress made and help in additional training of staff



## COMMUNITY SYSTEMS DEVELOPMENT

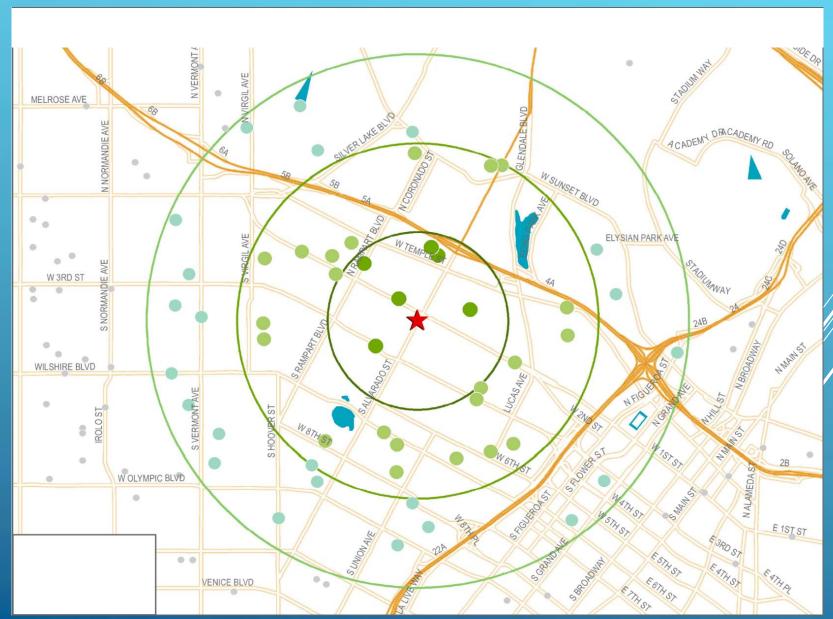


- 1. Child Care Provider Trainings (CCALA)
- Collaboration with Best Start Programs, other community-based agencies (HS, WIC) and child care providers
- 3. Community Resource Guides
- 4. Outreach by Clinic Community Dental Home Coordinators and Other Community Outreach Providers





# GIS Support for Outreach to Child Care Providers and other Sites Near Community Health Centers





# UCLA-First 5 LA Oral Health Program Strategy



- To implement a population health-based system of oral health care ...... in partnership with community health centers that:
  - 1. integrates dental, medical and community outreach services to reduce caries risk
  - 2. 'triages'/'channels' children to effective and efficient care pathways based on risk level
  - 3. improves the oral health and caries risk status of children ages 0-5 years
  - 4. develops sustained linkages to community partners



# Population-Based Approach for Dental / Oral Health Care Delivery



#### **ASSESSMENT PARAMETERS**

- •RISK LEVEL (low, high)
- •LESION STATUS (none, initial, advanced)
- **NEED FOR TREATMENT** (urgent, basic, advanced)

- No Lesions
- Low Risk

- No Lesions
- High Risk

- Initial Lesions Only
- Advanced Lesions

- Establish dental home
- Counseling to maintain low caries risk (diet, fluoride toothpaste)
- Provide primary preventive services (e.g., fluoride varnish - FV)
- Anticipatory guidance
- Data entry (CRA results, recommended follow-up)
- Schedule periodic followup visit per guidelines
- Care Coordination (as needed)

- Establish dental home
- Treatment plan and preventive services (FV)
- Risk reduction program (self-management goals)
- Anticipatory guidance
- Reassess progress and schedule periodic evaluation visit per program guidelines
- Data entry (at each visit)
- Care Coordination (as needed)

- Establish dental home
- Treatment plan and preventive services (FV)
- Basic disease management program to control disease and reduce risk
- Restorative services
- Anticipatory guidance
- Reassess progress and schedule periodic evaluation visit per program guidelines
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- Establish dental home
- Treatment plan and preventive services (FV)
- Advanced disease management program to control disease and reduce risk
- Restorative services
- Anticipatory guidance
- Reassess progress and schedule periodic evaluation visit per program guidelines
- Data entry
- Care Coordination (as needed)

Adapted from: Crall JJ. Ped Dent 2005;27:323-330.

# Integrated Risk Assessment & Disease Management Care Pathways first 5la



ental

#### ORAL HEALTH WELL-CHILD CARE @ 6, 9, 12, 18, 24 months & yearly thereafter

- 1. Review medical and dental history
- 2. Perform Caries Risk Assessment (CRA)
- Perform clinical oral assessment
- 4. Apply fluoride varnish

#### **Effective Engagement and Communication**

- 5. Explain caries process and causes of ECC
- 6. Counseling to maintain low caries risk (diet, fluoride toothpaste)
- 7. Anticipatory guidance
- 8. Periodic follow-up visits per guidelines

## Follow Up / Care Coordination High Risk

- 1. Verify establishment and maintenance of dental home.
- 2. Repeat well-child protocol at well-child visits for children with no dental home

#### INITIAL or PERIODIC DENTAL EVALUATION

- 1. Review medical history and dental history
- Perform Caries-Risk Assessment (CRA) risk/protective/clinical findings
- 3. Perform clinical dental examination caries charting by tooth surface and activity
- 4. Radiographs (if indicated and possible)
- 5. Assess cooperation
- 6. Develop treatment plan (or refer, if indicated)
- 7. Preventive services per guidelines

#### **Effective Engagement and Communication**

- 7. Explain caries process and causes of ECC
- 8. Define & agree on self-management goals (SMGs) if elevated risk
- 9. Provide support for risk reduction
- 10. Periodic follow-up visits per guidelines

#### **Restorative/Surgical Treatment**

- Manage lesions and/or provide restorative treatment appropriate to cooperation level
- Referral for sedation or general anesthesia if indicated

#### Moderate Initial or Low 7-12 mas 4-7 mos Advanced

Low Risk

and < 3 y.o.

or > 3 y.o.

Dental Home Referral

Lesions

High Risk 1-3 mos

#### **Effective Engagement and Communication**

1. Explain caries process and causes of ECC

DISEASE MANAGEMENT

- 2. Preventive services per guidelines
- 3. Define & agree on self-management goals
- 4. Anticipatory guidance
- 5. Periodic follow-up visits per guidelines

Initial or Advanced Treatment Needs

17

#### J. Crall - 2015

# Table Talk

- Discussion Questions:
- Thinking of the context in which you are working, how does this approach resonate?
- How would you apply this integrated model in your work environment?

# **UCLA-First 5 LA 21st Century Dental Home Project**

The Safety Net Solutions Team's Role and Process

Our mission is to improve the oral health of all.







# What Does Dental Home Project (DHP) Success Look Like?

To increase access to dental care for 50,000 high-risk children ages 0-5 in Los Angeles County by establishing a Dental Home model in 20-22 selected community clinic dental sites.





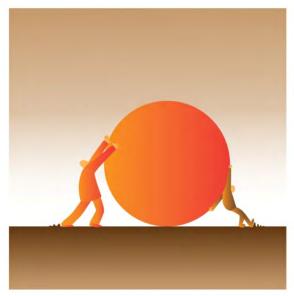
# **SNS** Vision

Creation of high-quality, accessible, affordable, oral health programs that document the improvement of the oral health status of the patients we treat while being financially responsible through efficiency and effectiveness.





- Affordable Access
- Quality Managed
- Heathy Outcomes
- Financially Responsible







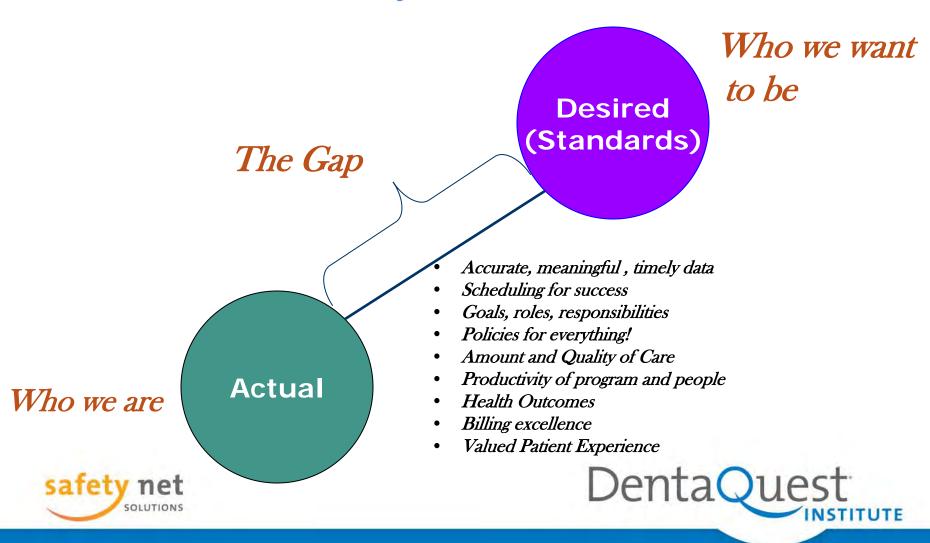
# **The Safety Net Solutions Process**

3 2 **Supported Practice** Enhancement Findings and **Analysis** Discussions Plan **Practice Management** Presentation Action steps **Data Survey** Discussion Roadmap **Key Practice Data** Strategy Timelines Site Visit





# We objectively define who you are and ask: Who do you want to be?



# **FOCUS PDSA**

- F Find a process to improve
- O Organize an effort to work on improvement
- C Clarify current knowledge of the process
- U Understand process variation and capability
- S Select a strategy for continued improvement
- P Plan the improvement action steps
- D Do the intervention
- S Study the results of what was executed
- A Act on these results to improve the plan





# Sample Major DHP Recommendations

- Collect accurate, meaningful, and timely data
- Create sessions for 0-5
- Recruit, hire, train a CDHC
- Recruit, hire, train a pediatric dentist
- Train general dentists to treat 0-5
- Train the medical team OH integration
- Consider a place in the QI collaborative
- Institute a CRA
- Review the scheduling process for 0-5

- Create a referral process from medical to dental
- Apply all to OB-GYN
- Create standard clinical protocols
- Address 'no-shows'
- Create a policy for each new procedure
- Review MCO billing process
- Create a strategy to mine 0-5 children inside and outside the center.
- Create a business pro-forma and goals for access and finance





# Samples of what SNS measures

- Gross Charges
- Net Revenue
- Expenses
- Number of visits
- Revenue per visit
- Cost per visit
- # of Transactions/visit
- Payer Mix
- A/R out 90 days
- # of Transactions/visit
- # of Unduplicated Patients
- # of New Patients

- No-Show Rate
- Emergency Rate
- # of FTE Providers
- # of FTE Billing Staff
- # of Completed Treatments
- Number of children 0-5
- # of children receiving sealants (under 21)
- # of sealants applied
- % of Children seen receiving a preventive service





# The Exercise

Given the following baseline data, select a few action steps (2-3) to get from where the data defines you are, to where you want to be in the DHP.

- Where will you start? (which areas or strategies?)
- Changes you would make (action steps?)
- How would you know if you have improved?
- What additional data might /would you collect.





# **Baseline Data 0-5**

- Gross Charges
- Net Revenue
- Expenses
- Number of visits- 5/day
- Revenue per visit
- Cost per visit
- # of Unduplicated Patients-1,150
- # of New Patients-2.5/wk
- # of Transactions-1.5/visit
- Payer Mix- 33%medicaid
- No-Show Rate- 36%

- Emergency Rate
- # FTE Providers
- # FTE Billing Staff
- # of Completed Treatments-27%
- Number of children [0-5]-1,150
- # of children receiving sealants (under 21)
- # of sealants applied
- % Children seen receiving a preventive service- 50%
- A/R out 90 days





# UCLA-First 5 LA Oral Health Program Quality Improvement Learning Collaborative – Supporting Teams for Improvement

COLLEEN LAMPRON, MPH, QI LEARNING COLLABORATIVE DIRECTOR

JIM CRALL, DDS, SCD, UCLA-FIRST 5 LA OHP PROGRAM DIRECTOR AND QI LEARNING COLLABORATIVE CHAIR

NATIONAL ORAL HEALTH CONFERENCE

**APRIL 28, 2015** 









# **Overview**

- Brief review: Breakthrough Series
   Collaborative
- Discuss measurement & why we measure
- Overview of Update on QILC activities to date
  - Including team progress

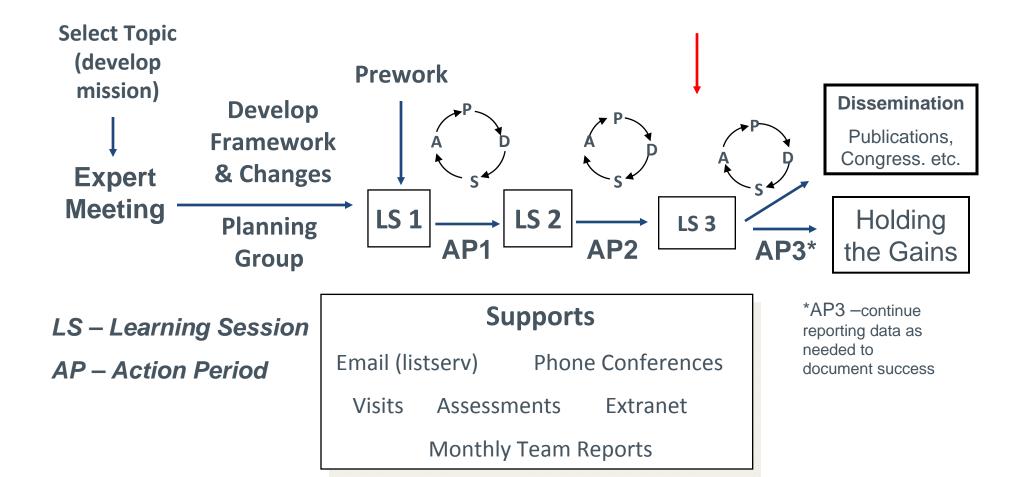






# Institute for Healthcare Improvement (IHI) Breakthrough Series Collaborative Model

(6 to 18 months time frame)

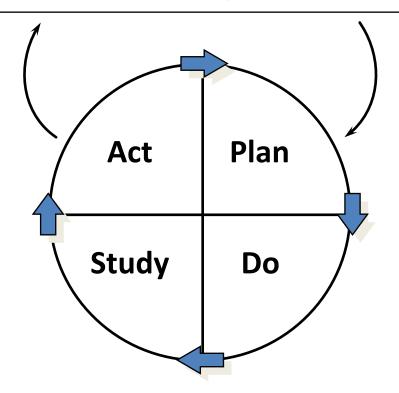


# **Model for Improvement**

What are we trying to accomplish?

How will we know that a change is an improvement?

What change can we make that will result in improvement?



Three questions and a *test cycle*: The Model for Improvement is a general method to help you to improve through iterative learning.

#### **Outcomes**

#### **Key Drivers**

#### **Secondary Drivers**

Clinic Leadership drives integrated Medical and Dental care

Cultivate accountable leadership focused on population outcomes

Clinics develop strategic alignment of integrated Medical and Dental services for oral health

Use Health Information & QI to Improve Population Oral Health

Enhance **clinic information systems** to support QI & population pediatric oral health management

Strengthen clinic-wide QI skills and culture

Use a **registry** to track/support risk & disease management

Establish Dental Home & Refer to appropriate care pathway based on risk

(Medical focus)

\*Conduct & record risk assessment at well child visits

Provide oral health education/anticipatory guidance and apply fluoride varnish

\*Provide appropriate **referrals to dental services** based on risk and age

Follow up and Care Coordination

Implement
Standard Risk-Based
Disease Management
Care Processes
Across Medical and
Dental Services

Increase **provider & staff knowledge and skills** for delivering risk-based oral health care

\*Conduct & record risk assessment at periodic visits

\*Implement disease management protocol based on risk including appropriate recall, more frequent monitoring, more intensive coaching for behavior change

Effective engagement and communication using self management goals (SMGs)

Follow up and Care Coordination

Aim: To improve the health and well-being of LA county children, age 0-5, by improving their access to quality oral health care and reducing their caries risk. By June 2016:

- 95% of 0-5 year olds seen at participating Health Centers will have a documented caries risk assessment;
- the caries risk status of 10% of children initially at high risk will be reduced;
- X% of children will receive differentiated care based on their risk status





#### Integrated Risk Assessment & Disease Management Care Pathways

Low Risk

and < 3 y.o.

High Risk

or > 3 y.o.

Dental Home Referral

#### ORAL HEALTH WELL-CHILD CARE @ 6, 9, 12, 18, 24 months & yearly thereafter

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#### DISEASE MANAGEMENT

Advanced

Initial or

Lesions

Moderate 4-7 mos

High Risk 1-3 mos

#### **Effective Engagement and Communication**

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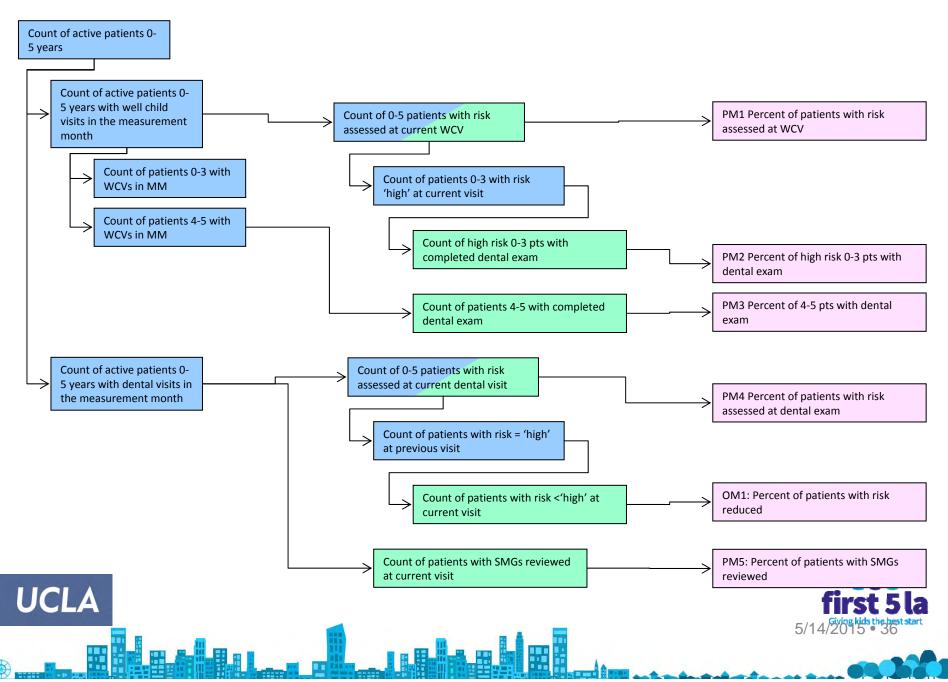
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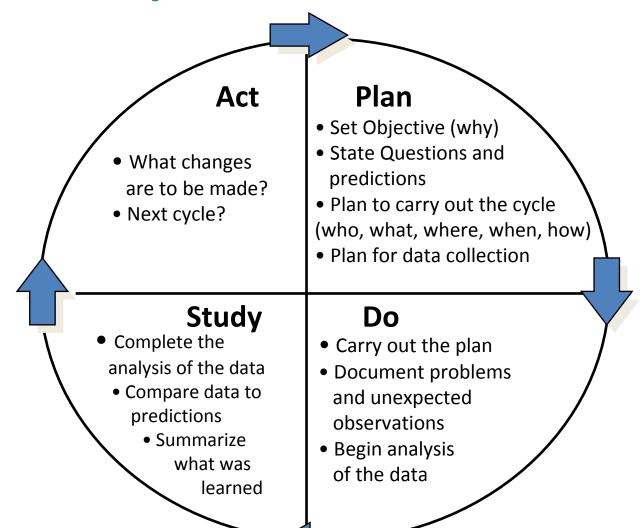
5. Periodic follow-up visits per guidelines

Initial or Advanced Treatment Needs

#### F5LA Measures



## **Basic Components of Each Test Step**









# Why We Measure

- In order to manage a system, we are required to make predictions about its future performance
- A predictable (and thus manageable) process operates in a more or less consistent fashion over time







#### The Three Faces of Performance Measurement

Aspect	Improvement	Accountability	Research
<u>Aim</u>	Improvement of care (efficiency & effectiveness)	Comparison, choice, performance management	New knowledge (efficacy)
Methods:  • Test Observability	Test are observable	No test, evaluate current performance	Test blinded or controlled
• Bias	Accept consistent bias	Measure and adjust to reduce bias	Design to eliminate bias
Sample Size	"Just enough" data, small sequential samples	Obtain 100% of available, relevant data	"Just in case" data
<ul><li>Flexibility of Hypothesis</li></ul>	Flexible hypotheses, changes as learning takes place	No hypothesis	Fixed hypothesis (null hypothesis)
Testing Strategy	Sequential tests	No tests	One large test
<ul> <li>Determining if a change is an improvement</li> </ul>	Run charts or Shewhart control charts (statistical process control)	No change focus (maybe compute a percent change or rank order)	Hypothesis, statistical tests (t-test, F-test, chi square, p-values
Confidentiality of the data	Data used only by those involved with improvement	Data available for public consumption and review	Research subjects' identities protected

Reference: Solberg, L., Mosser, G., and McDonald, S. "The Three Faces of Performance Measurement: Improvement, Accountability and Research" *Journal on Quality Improvement* vol. 23, no. 3, (March 1997), 135-147.

# Measurement for Improvement

There must be a **method for improvement** associated with the measure(s) to be considered measurement for improvement.

Improvement requires:

- •an aim that defines success,
- •measures that track progress toward the aim, and
- •changes to appropriate systems.

Measures help clarify the aim (the metrics for "how much, by when") but are subordinate to the aim and distinct from the changes.







# To Be Considered a PDSA Cycle...

- The test or observation was planned
  - --including a plan for collecting data and a prediction about results
- The plan was attempted (do the plan).
- Time was set aside to analyze the data and study the results.
- Action was rationally based on what was learned.







#### **PDSAs**

 PDSAs inform the system – think strategically about what you need to achieve and the key elements you need to get there.

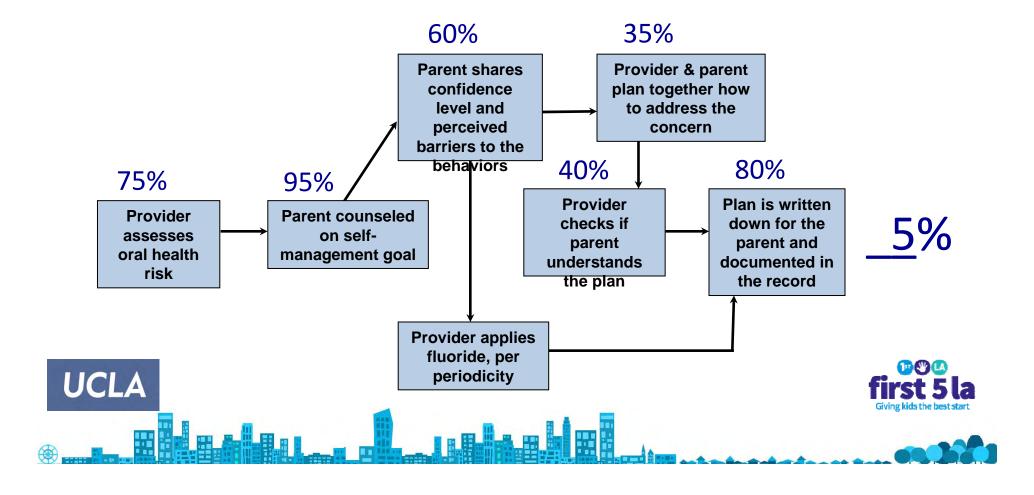






# **Reliability Example**

What proportion of parents with a young child leave a dental visit with a written idea about how they can improve their child's oral health?



## **Process Out of Control**

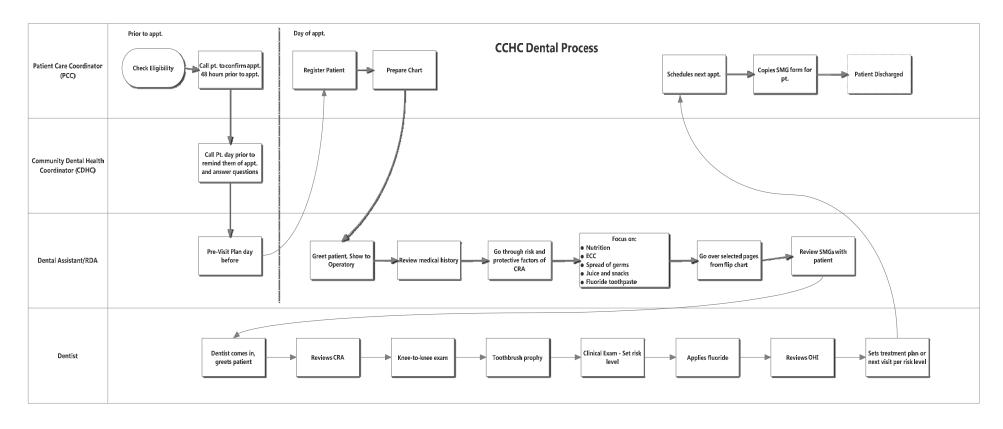






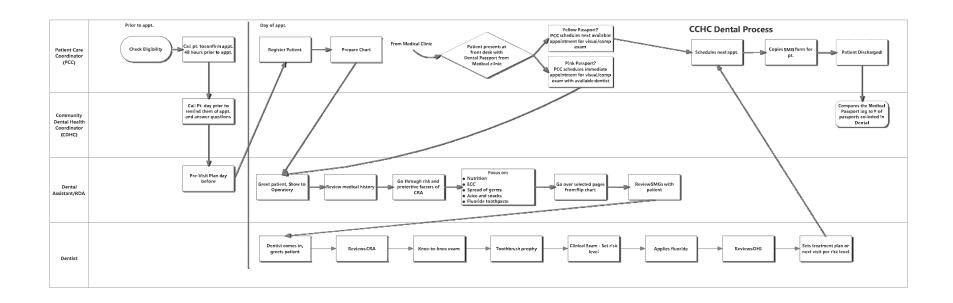


#### **CCHC Glendale Dental Swim Lane Diagram**





#### **CCHC Dental Swim Lane Diagram**





### **PDSA Exercise**

- Reflection questions:
  - Considering the Aim, Care Pathway and reliability principles, what changes would you test in a safety net system to get to move towards integrated care?
  - List at least 3 changes, and design a PDSA test for one (using worksheet)







# **Learning Sessions**

#### **Learning Session 1**

- Overview of Program and QI LC
- StoryBoards
- Science of Improvement identify clear aim for action period, develop PDSA testing plan and how to integrate changes into daily flow
  - Model for Improvement
  - Assessing Readiness for Improvement
  - Developing of a Good Aim Statement
  - Measurement and data collection
  - PDSAs & Small Scale Testing
  - Planning PDSAs & Improvements at Clinic
  - Basecamp furthering & sharing learning
- Strategies for improving pediatric oral health identify ways dental and medical services can collaborative to deliver risk based disease management /oral /dental care within a clinic.
  - Population-Based Approach for Dental / Oral Health Care Delivery (4 column model)
  - 6 Steps for Infant Oral Health; Risk & Disease Management
  - Population Health and Disease Management, Role of Primary Care in Managing Caries Disease

#### **Learning Session 2**

- Overview of Program and QI LC Progress to Date
- StoryBoards and Care Process
- Science of Improvement continue to work with aim and longer term plan for improvement and testing to establish reliable systems, and leading & accelerating change
  - Accelerating Change: Establishing Reliable Systems & Group Planning
  - Revisiting Aim Statement & Planning to scale up improvements
  - Leading & Accelerating Change & establishing reliable systems –
     Moving from Testing to Implementation
  - Team Time Leaving in Action Planning Improvements/PDSAs at Clinic
- Strategies for improving pediatric oral health Medical/Dental integration of services - identify ways dental and medical services can collaborative to deliver risk based disease management /oral /dental care within a clinic.
  - Patient-Centered Evidence-Based Standards of Care
  - Care Process Mapping understanding handoffs and opportunities for integration of services across medical and dental
  - Learning from each other cross clinic discussions of improvement efforts
  - Leadership for integrated Medical and Dental care
  - Discussion on roles of various team members in implementing disease prevention and management (dental, medical, and community dental home coordinators)
  - Process Map/Swim Lane of Medical/dental clinic process







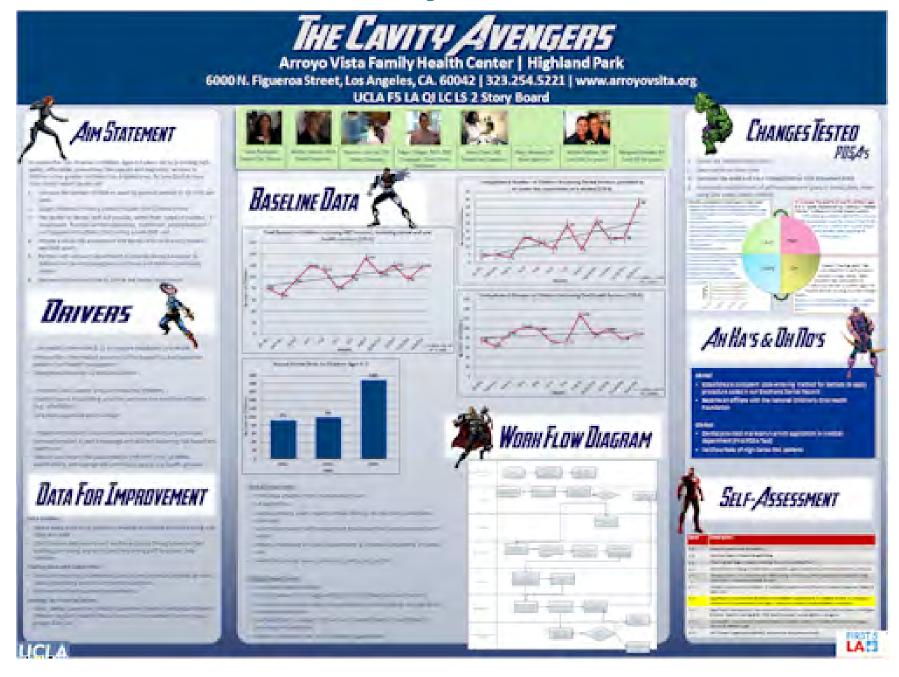
**Learning Session 1 - Storyboards** 



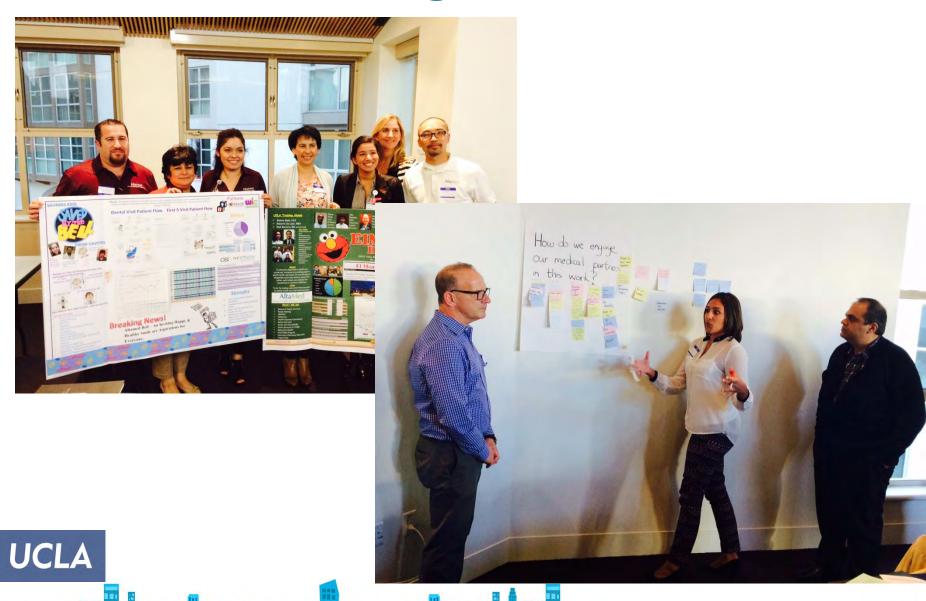




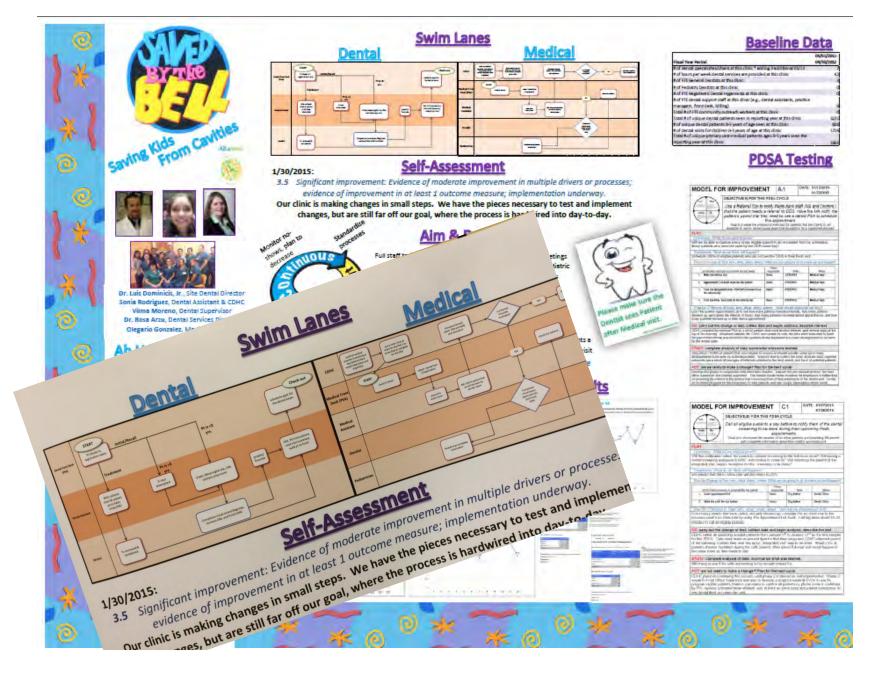
## **StoryBoards**



# **Learning Session 1**



#### **Altamed Bell Storyboard**



#### **Action Period 1 PDSAs Focused on...**

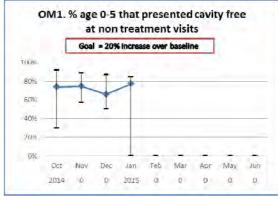
- Reducing No-Show Rates and increasing # of children served
- Implementation of CRA in dental and medical
- Medical referrals to Dental clinic
- Documentation issues:
  - Caries Risk Assessment and Risk Level
  - Discussion of Self Management Goals
  - Electronic data capture

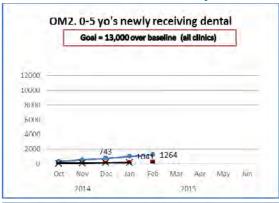
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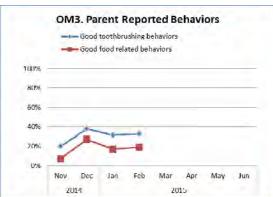


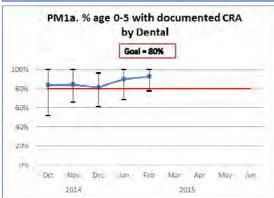


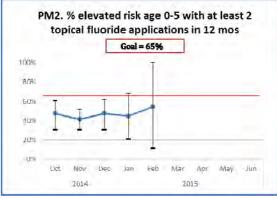
# UCLA First 5 LA Oral Health Program QI Learning Collaborative Dashboard ALL CLINICS – February 2015

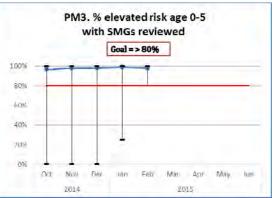


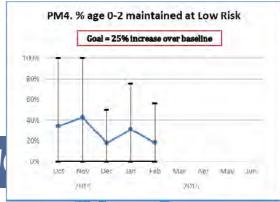


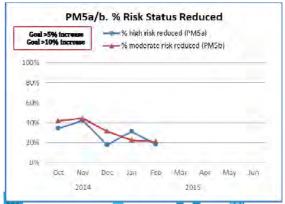


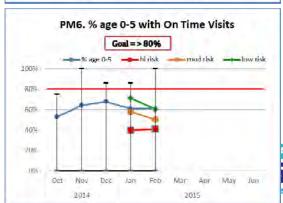










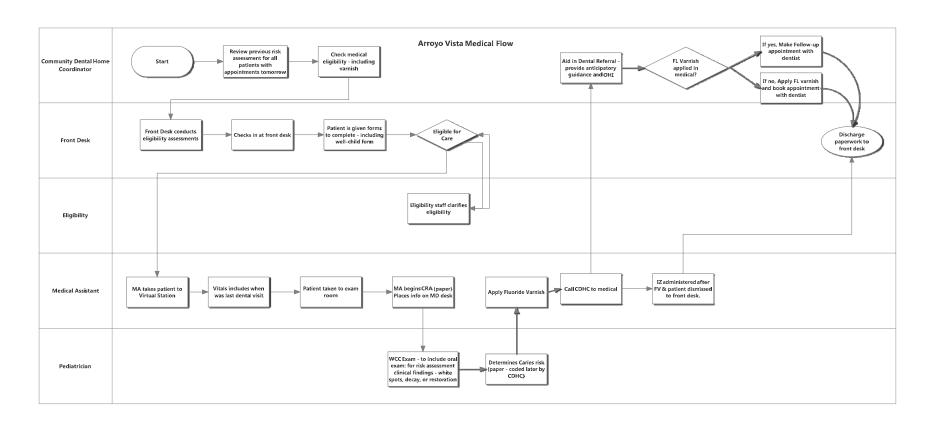








# Medical Swim Lane Diagram Arroyo Vista Highland Park





#### **Action Period 2 PDSAs Focus on...**

- Systematic Documentation of Caries Risk Level
- Medical/Dental integration and Passport to Dental – various ways of improving dental visit completion following medical visit and/or referral
- Workflow issues and reliability of processes







### **Lessons Learned**

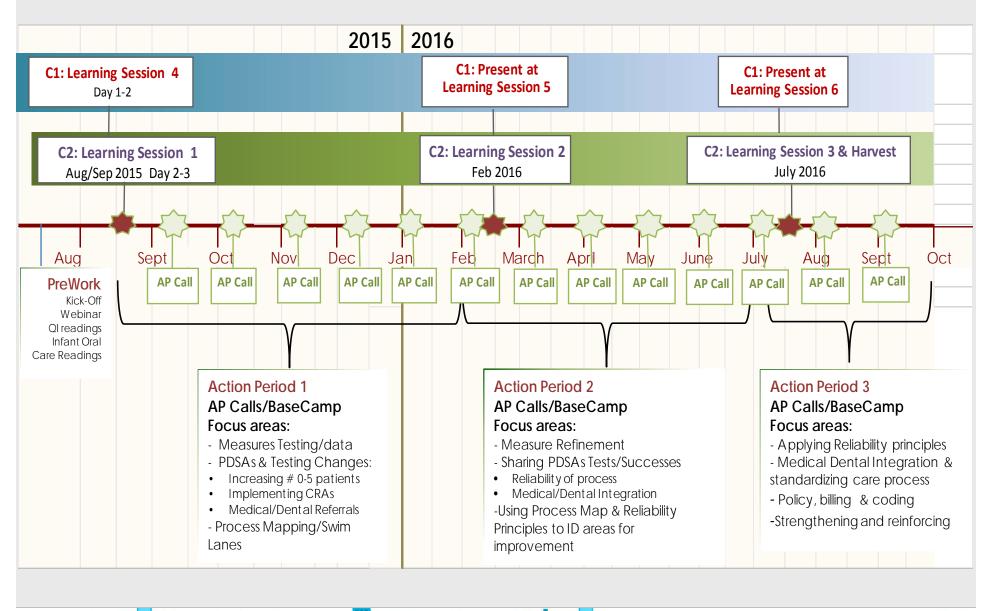
- Use high functioning teams to test drivers, measures and change package
- Leadership is critical for success
- Need to develop baseline QI skills in dental
- Basic QI skills need constant reinforcement
- There are different levels of readiness
- Staying engaged and supporting QI will be beneficial in the long run
- This method is producing systems changes







#### **QI Learning Collaborative Timeline**



## Summary / Lessons Learned / Keys to Success

- Comprehensive vision and multi-faceted strategy
  - Enhanced infrastructure (personnel, IT)
  - Training (dental, medical, community outreach)
  - Improve clinic operation 'fundamentals'
  - Train and support staff to implement quality improvement to achieve sustainable systems change
- Data to monitor progress and guide strategies
- Leadership buy-in (implementation, sustainability)
- Communications, coordination, collaboration





# Thank You! / Questions?

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